

IFW

26238

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application No.	09/966,676
		Filing Date	September 28, 2001
		First Named Inventor	Brendan Traw
		Art Unit	2623
		Examiner Name	Son P. Huynh
Total Number of Pages in This Submission	22	Attorney Docket Number	42390P11771

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; height: 80px; width: 100%;"></div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Eric T. King, Reg. No. 44,188 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	June 11, 2007

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or printed name	Nicole Erquiaga		
Signature		Date	June 11, 2007



FEE TRANSMITTAL for FY 2006

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/966,676
Filing Date	September 28, 2001
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Art Unit	2623
Attorney Docket No.	42390p11771

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)
180.00

METHOD OF PAYMENT (check all that apply)

- ☒ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify): _____
- ☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP
- For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
- ☒ Charge fee(s) indicated below ☒ Credit any overpayments
- ☒ Charge fee(s) indicated below, except for the filing fee ☒ Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.
- ☒ Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application.

FEE CALCULATION

1. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20*	0	50.00	\$0.00
3*	0	200.00	\$0.00

Multiple Dependent

Large Entity	Small Entity
Fee Code (\$)	Fee Code (\$)
1202 50	2202 25
1201 200	2201 100
1203 360	2203 180
1204 790	2204 395
1205 300	2205 150

Fee Description

**Reissue independent claims over original patent

**Reissue claims in excess of 20 and over original patent

SUBTOTAL (1) (\$)
0.00

2. ADDITIONAL FEES

Large Entity	Small Entity
Fee Code (\$)	Fee Code (\$)
1051 130	2051 65
1052 50	2052 25
2053 130	2053 130
1251 120	2251 60
1252 450	2252 225
1253 1,020	2253 510
1254 1,590	2254 795
1255 2,160	2255 1,080
1401 500	2401 250
1402 500	2402 250
1403 1,000	2403 500
1451 1,510	2451 1,510
1460 130	2460 130
1807 50	1807 50
1806 180	1806 180
1809 790	1809 395
1810 790	2810 395

Fee Description

Surcharge - late filing fee or oath

Surcharge - late provisional filing fee or cover sheet.

Non-English specification

Extension for reply within first month

Extension for reply within second month

Extension for reply within third month

Extension for reply within fourth month

Extension for reply within fifth month

Notice of Appeal

Filing a brief in support of an appeal

Request for oral hearing

Petition to institute a public use proceeding

Petitions to the Commissioner

Processing fee under 37 CFR 1.17(q)

Submission of Information Disclosure Stmt

Filing a submission after final rejection (37 CFR § 1.129(a))

For each additional invention to be examined (37 CFR § 1.129(b))

SUBTOTAL (2)

Fee Paid

(\$)
180.00

SUBMITTED BY

Name (Print/Type)
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Registration No.
(Attorney/Agent)

44,188

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Signature

Date

06/11/07



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

BRENDAN TRAW, ET AL.

Application No.: 09/966,676

Filed: September 28, 2001

For: **METHOD AND APPARATUS TO
PROVIDE A PERSONALIZED
CHANNEL**

Art Group: 2623

Examiner: Son P. Huynh

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

06/15/2007 HVUONG1 00000065 09966676

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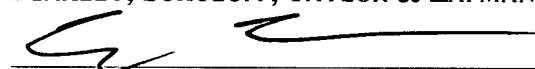
180.00 OP

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

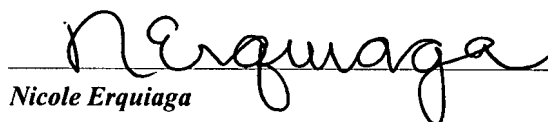
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Nicole Erquiaga

06-11-07

Date



<p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(Use as many sheets as necessary)</p>				<i>Complete if Known</i>	
				Application Number	09/966,676
				Filing Date	September 28, 2001
				First Named Inventor	Traw, Brendan
				Art Unit	2623
				Examiner Name	Son P. Huynh
Sheet	1	of	17	Attorney Docket No: 42P11771	

US PATENT DOCUMENTS					
Examiner Initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
		US-09/532034		Connelly, Jay H.	03/21/2000
		US-09/533024		Connelly, Jay H.	03/22/2000
		US-09/717579		Jutzi, Curtis E.	11/21/2000
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		US-2002/0124252	09/05/2002	Schaefer, Scott R., et al.	03/21/2001
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		US-20020104095	08/01/2002	Nguyen, Loc et al.	06/27/2001
		US-20020112235	08/15/2002	Ballou, Bernard et al.	02/12/2001

EXAMINER

DATE CONSIDERED



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				Examiner Name	Son P. Huynh
Sheet	2	of	17	Attorney Docket No: 42P11771	

US PATENT DOCUMENTS					
Examiner Initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
		US-20020138733	09/26/2002	Ishibashi, Ishibashi, Yoshihito	10/12/2001
		US-20020143591	10/03/2002	Connelly, Jay H.	03/30/2001
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		US-20020162120	10/31/2002	Mitchell, Slade	04/25/2001
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		US-20020166269	10/03/2002	Connelly, Jay H.	03/30/2001
		US-20020167947	11/14/2002	Hallford, Jason et al.	05/11/2001
		US-20020174430	11/21/2002	Ellis, Michael et al.	02/21/2002
		US-20020193066	12/19/2002	Connelly, Jay H.	06/15/2001
		US-20020194585	12/19/2002	Connelly, Jay H.	06/15/2001
		US-20020194603	12/19/2002	Connelly, Jay H.	06/15/2001
		US-20020199194	12/26/2002	Ali, Kamal	06/14/2002
		US-2003/0097657	05/22/2003	Zhou, Yiming et al.	09/14/2001

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PTO/SB/08a(07-05)

Approved for use through 7/31/2008, OMB 0851-0031

US Patent & Trademark Office, U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/966,676
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First Named Inventor	Traw, Brendan
Art Unit	2623
Examiner Name	Son P. Huynh

Sheet

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of

17

Attorney Docket No: 42P11771

US PATENT DOCUMENTS

Examiner Initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
		US-20030005311	01/02/2003	Kajimura, Toshiyuki et al.	01/02/2003
		US-20030005438	01/02/2003	Crinon, Regis J., et al.	06/29/2001
		US-20030005441	01/02/2003	Inove, Tatsu	06/18/2002
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		US-20030065520	04/03/2003	Jutzi, Curtis E.	09/28/2001
		US-20030066090	04/03/2003	Traw, Brendan et al.	09/28/2001
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		US-20030103532	06/05/2003	Betram, Michael C., et al.	12/10/1999

EXAMINER

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Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

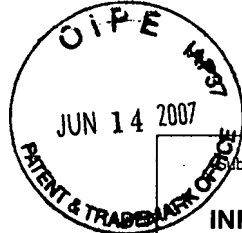


Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				<i>Complete if Known</i>	
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				Examiner Name	Son P. Huynh
Sheet	4	of	17	Attorney Docket No: 42P11771	

US PATENT DOCUMENTS					
Examiner Initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
		US-20030135553	07/17/2003	Pendakur, Ramesh	01/11/2002
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		US-4602279	07/22/1986	Freeman, Michael J.	03/21/1984

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				Examiner Name	Son P. Huynh
Sheet	5	of	17	Attorney Docket No: 42P11771	

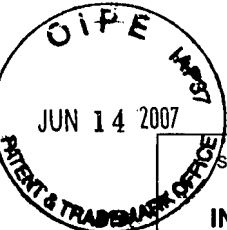
US PATENT DOCUMENTS					
Examiner Initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
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		US-4646145	02/24/1997	Percy et al.	04/07/1980
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		US-5583576	12/10/1996	Perlman, William et al.	09/11/1995

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Substitute Disclosure Statement Form (PTO-1449)

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Sheet

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17

Attorney Docket No: 42P11771

US PATENT DOCUMENTS

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		US-5585838	12/17/1996	Lawler, Frank A., et al.	05/05/1995
		US-5594490	01/14/1997	Dawson, William P., et al.	05/23/1994
		US-5600364	02/04/1997	Hendricks, John S., et al.	12/02/1993
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		US-5686954	11/11/1997	Yoshinobu, Hitoshi et al.	06/06/1995
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		US-5732282	03/24/1998	Provino, Joseph E., et al.	06/30/1995
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				Application Number	09/966,676
				Filing Date	September 28, 2001
				First Named Inventor	Traw, Brendan
				Art Unit	2623
				Examiner Name	Son P. Huynh
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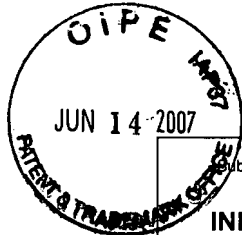
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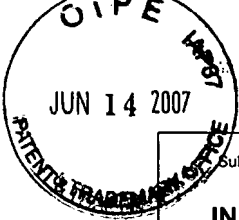
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Application Number	09/966,676
Filing Date	September 28, 2001
First Named Inventor	Traw, Brendan
Art Unit	2623
Examiner Name	Son P. Huynh

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